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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application Assistant Commissioner for Patents Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the original (nonprovisional) patent application of: Inventor(s): Bruce C. Sudduth, Ralph J. Slone, Vishwesh Palekar, Madhu Ramavajjak For (title): APPARATUS AND METHOD FOR REDUCING NOX FROM EXHAUST

1. Benefit of Prior U.S. Application(s) under 35 U.S.C. §119(e), 120, or 121:

X This new application being transmitted claims the benefit of prior U.S. application(s). Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

2. Papers Enclosed:

Α.	Required	l for filing date of a Regular a	application und	er 37 C.F.R. §1.53(b):
	<u>46</u>	_ Pages of Specification, inclu	ıding claims an	d abstract
	6	_ Pages of claims		
	9	_ Sheets of Drawings :	X Formal	Informal
В.	Other Pa	pers Enclosed:		
		Pages of declaration and p	ower of attorne	ey
	1	Pages of abstract		

CERTIFICATE OF MAILING (37 C.F.R. §1.10)

I hereby certify that this New Application Transmittal and the documents referred to as attached therein are being deposited with the United States Postal Service on this date Dec. 14, 1998 , in an envelope as "Express Mail Post Office to Addressee," mailing Label Number EMIQI53959QUS, addressed to the Assistant Commissioner for Patents, Washington D.C. 20231.

MARIA MARGARETICH

Name of person mailing papers



(. E ,

	Other		•	
3. Additi	onal Papers Encl	osed:		
Amendme	ent to claims	//		
X Cancel in	this application o	elaims <u>2-60</u>	_ before calcula	ting the filing fee
least one orig	inal independent	claim must be reta	ined for filing _l	ourposes.
Add the c	laims shown on t	he attached amend	ment. (Claims	added have been
numbered co	nsecutively follov	ving the highest nu	ımbered origin	al claims.)
Prelimina	ry Amendment		J	
		tement (37 C.F.R. §	1.98)	
Other:			,	
		•		
4. Fees:				
		CLAIMS AS FILEI	D	
				····
	Number Filed	Number Extra	Rate	Basic Fee \$760.00
Total Claims	1- 20 =	x	\$ 18.00	
Independent		x	\$ 78.00	
Multiple Dep	•	X	\$260.00	
Filing Fee Cal	culation			\$760.00
	Entity Statement that this is a filin	(s) g by a small entity	under 37 C.F.R	R. §1.9 and 1.27 is
attached.				
_X_Status as s	small entity was o	claimed in prior ap	plication <u>08</u> /_	742,769, filed
on <u>Novemb</u>	<u>oer 1, 1996</u> , from	which benefit is be	eing claimed fo	r this application
under:				
35 U.S.	C§ 119(e)		
	<u>X</u> § 120			
	§ 121			

_§ 365(c)

(At

and which status as a small entity is still proper and desired.
X_A copy of the statement in the prior application is included.
Filing Fee calculation (50%)\$380
6. Fee Payment Being Made at This Time
Enclosed:
<u>X_Filing Fee</u> \$_380
Recording Assignment (\$40.00; 37 C.F.R. §1.21(h))
(See attached "COVER SHEET FOR ASSIGNMENT ACCOMPANYING
NEW APPLICATION) \$
7. Method of Payment of Fees
Charge to Deposit Account No. 50-0251 in the amount of \$ 380 .
A DUPLICATE OF THIS TRANSMITTAL IS ATTACHED.
8. Authorization to Charge Additional Fees
The Commissioner is hereby authorized by this paper to charge the following
additional fees and credit any overpayment and during the entire pendency of
this application to Deposit Account No50-0251
37 C.F.R. §1.16(a), (f), or (g) (filing fees)
37 C.F.R. §1.16(b), (c), or (d) (presentation of extra claims)
37 C.F.R. §1.17(a)(1)-(5) (extension fees pursuant to §1.136(a))
•
9. Relate Back
Amend the specification by inserting, before the first line, the following sentence
A. 35 U.S.C. §119(e)
This application claims the benefit of U.S. Provisional Application(s) No(s):
APPLICATION NO(S): FILING DATE



and which status as a small entity is still proper and desired.		
X_A copy of the statement in the prior application is included.		
Filing Fee calculation (50%)	\$380	
6. Fee Payment Being Made at This	Time	
Enclosed:		
X_Filing Fee	\$ <u>380</u>	
Recording Assignment (\$40.00; 37 C.F.R. §1.21(h))		
(See attached "COVER SHEET FOR ASSIGNMENT ACCOMPANYING		
NEW APPLICATION)	\$	
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The Commissioner is hereby authorized	by this paper to charge the following	
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this application to Deposit Account No50-0251		
37 C.F.R. §1.16(a), (f), or (g) (filing fees)		
37 C.F.R. §1.16(b), (c), or (d) (presentation of extra claims)		
37 C.F.R. §1.17(a)(1)-(5) (extension fees pursuant to §1.136(a))		
9. Relate Back		
Amend the specification by inserting, be	fore the first line, the following sentence:	
A. 35 U.S.C. §119(e)		
This application claims the benefit of U.S. Provisional Application(s) No(s):		
APPLICATION NO(S): FILING DATE		
/		

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,	
	B. 35 U.S.C. §120, 121, and 365(c)
,	X This application is a
٨)	X_continuation
H	continuation-in-part
11	divisional
JW 2000	of copending application(s) now U.S. Patent 5, 985, 222
07 Jan. 2000	X application number $08/742,769$, filed on November 1, 1996
U	International Application filed on and
	which designated the U.S.
	Note: The proper reference to a prior filed PCT application that entered the U.S. national phase is the U.S. serial number and the filing date of the PCT application that designated the U.S. 10. Relate Back-35 U.S.C. §119 Priority Claim for Prior Application The prior U.S. application(s), including any prior International Application designating U.S., identified above in item 17B, in turn claim(s) foreign priority(ies) as follows: Country Application No. Filed No. The certified copy(ies) has (have) been filed on, in prior application 0/, which
	was filed onis (are) attached.
	11. Maintenance of Copendency of Prior Application
	1 July 1
	Extension of time in prior application
,	A petition, fee and response extends the term in the pending prior
	application until
	A copy of the petition filed in prior application is attached.

12.	NOTIFICATION IN PARENT APPLICATION OF THIS FILING
<u>X</u>	A notification of the filing of this
	<u>X</u> continuation
	continuation-in-part
	divisional
ic boi	na filad in the narent application, from which this application claims

is being filed in the parent application, from which this application claims priority under 35 U.S.C. §120.

Alan R. Loudermilk

Reg. No. 32,788

Tel. No. (408) 342-1866 10950 N. Blaney Avenue, Suite B Cupertino, CA 95014

Practitioner's Docket No. Noxtech-01



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sudduth	of al.
Serial No.: 08/740 769 Gi	roup No.: 1754
Filed: Nov. 1 1996	caminer: Vanoy, T.
For: Apparatus and Meth	et al. roup No.: 1754 raminer: Vanoy, T. rod for Reducing Nox from Exhau Gases Produced by Industrial Processe
Assistant Commissioner for Patents	Green On the Thirty of the
Washington, D.C. 20231	gases Produced by Industrial
	Processe
	,
DIVISIONAL OR CONTINU	ED PROSECUTION APPLICATION
Notification is hereby being made of the	e filing of a:
(D) continuation	·
continuation-in-part	
_	
☐ divisional	•
☐ continued prosecution	
application for this case	
concurrently herewith.	
□ on	Date
	Date
	••
CERTIFICATION U	IDER 37 CFR 1.8(a) and 1.10
(When using Express Mail, the	Express Mail label number is mandatory;
Express Mail	certification is optional.)
hereby certify that, on the date shown below, the	is correspondence is being:
	MAILING
	ice in an envelope addressed to the Assistant Commissioner
for Patents, Washington, D.C. 20231 37 CFR 1.8(a)	37 CFR 1.10°
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	Mailing Label No (mandatory)
TF	75m 101529540119
	Mailing Label No. (mandatory) ANSMISSION EM 121539592US
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transmitted by facsimile to the Patent and Transmitted by facsimile to the Patent and Transmitted	ademark Office.
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transmitted by facsimile to the Patent and Transmitted by facsimile	ademark Office.
·	Signature MARIA MARGARETICIA
Date: Dec 14,1998	ademark Office.

*WARNING: Each paper or fee filed by Express Mail must have the number of the "Express Mail" mailing laber placed thereon prior to mailing. 37 C.F.R. 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Notification of Filing of Continuing, Divisional or Continued Prosecution Application [4-9] (page 1 of 2))

Reg. No. 32,788

Tel. No.: (408 342-1866

Customer No.:

SIGNATURE OF PRACTITIONER

Alan R. Loudermilk
(type or print name of practitioner)

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Cupertino, CA 95014

(Notification of Filing of Continuing, Divisional or Continued Prosecution Application [4-9] (page 2 of 2))

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